

**To**  
**Board of Directors/Management of**  
**Single Investor Identification (SID)**  
**Applicants**  
In Place of Domicile

Jakarta, November 2<sup>nd</sup>, 2018

**CIRCULAR LETTER**

No. SE-0004/DIR-EKS/KSEI/1118

**Regarding : Static Data Investor Submission Procedure for the Creation of Single Investor Identification (SID) at KSEI**

To support the enforcement of the Financial Services Authority (OJK) Regulation No. 12/POJK.01/2017 on the Implementation of Anti-Money Laundering and Terrorism-Financing Prevention Programs in the Financial Services Sector, and pursuant to KSEI Regulation No. I-E regarding Single Investor Identification (SID) (Attachment to the Decree of Board of Directors of KSEI No. KEP-0029/DIR/KSEI/0616, dated June 21<sup>st</sup>, 2016, on Single Investor Identification), we hereby convey the following procedures on Static Data Investor submissions by Applicants for the creation of SID:

1. Applicants who submit the application for the creation of SID for Clients, Investors, Participants, or other Parties, shall complete all of the required Static Data Investor, which comprise of data, information, and/or documents as stipulated in the Static Data Investor Submission Manual published occasionally by KSEI (which shall be further referred as "SDI Manual").
2. Applicants shall fulfil the following Static Data Investor information classification as required by KSEI in the SDI Manual to obtain the SID:
  - a) Mandatory (must be filled with the required information);
  - b) Conditional (must be filled with the required information if certain conditions are met);
  - or
  - c) Optional (can be filled based on Applicants' needs);
3. In filling-in the Static Data Investor, Applicants shall comply with the procedure and requirements determined by KSEI in this Circular Letter and in the SDI Manual;
4. KSEI retains the right to conduct Inspections of KSEI on the fulfilment of the mandatory requirements specified in this Circular Letter and in the SDI Manual;
5. With the enactment of KSEI Circular Letter No. SE-0004/DIR-EKS/KSEI/1118, dated November 2<sup>nd</sup>, 2018, regarding Static Data Investor Submission Procedure for the Creation of Single Investor Identification (SID) at KSEI, it is considered that KSEI Circular Letter No. SE-0002/DIR-EKS/KSEI/0916, dated September 1<sup>st</sup>, 2016, regarding

Data, Information, and Documents for Single Investor Identification (SID) Application at KSEI, and its revision through KSEI Circular Letter No. SE-0003/DIR-EKS/KSEI/1018, dated October 29<sup>th</sup>, 2018, regarding Data, Information, and Documents for Single Investor Identification (SID) Application at KSEI are revoked and declared no longer valid.

6. This Circular Letter will come to effect as of its issuing date.

Thus we convey the above. Thank you for your attention.

Sincerely,

**PT Kustodian Sentral Efek Indonesia**

**Friderica Widayarsi Dewi**

President Director

**Syafruddin**

Director

Copies sent to:

1. The Chief Executive of the Capital Market Supervision of the Financial Services Authority (OJK);
2. The Head of Capital Market Sector Supervision Division 2A of OJK;
3. The Head of Capital Market Sector Supervision Division 1B of OJK;
4. The Director of Securities Institution Supervision of OJK;
5. The Director of Securities Transaction Supervision of OJK;
6. The Director of Capital Market Regulation of OJK;
7. The Director of Sharia Capital Market of OJK;
8. The Deputy Director of Sharia Capital Market of OJK;
9. The Executive Director of Administration of Payment System Management Department of Bank Indonesia;
10. The Board of Directors of Indonesia Stock Exchange;
11. The Board of Directors of Indonesian Clearing and Guarantee Corporation;
12. The Indonesian Securities Companies Association;
13. The Indonesian Custodian Banks Association;
14. The Association of Indonesian Mutual Fund Selling Agents Banks;
15. The Association of Indonesian Mutual Funds and Investment;
16. The Association of Indonesian Investment Manager;
17. The Association of Indonesian Registrars;
18. The Board of Commissioners of KSEI; and
19. The Board of Directors of KSEI.