

To
**The Board of Directors/The Principals of
Securities Companies as the Participants of KSEI**

Jakarta, 28 April 2021

CIRCULAR LETTER

No. SE-0002/DIR-EKS/KSEI/0421

Regarding : Mechanism for the Delivery of Trade Confirmation to the Client via AKSes

In the framework of implementing point 3 of the Regulation of KSEI Number I-F regarding AKSes, (Attachment to the Decree of the Board of Directors of KSEI No. KEP-0001/DIR/KSEI/0119 dated January 16th, 2019), KSEI consider it necessary to stipulate further a provisions regarding the method for the delivery of trade confirmation by Securities Company, with the provisions as stated in this circular letter as follows:

1. Procedure for the delivery of trade confirmation by Securities Company to the Client:
 - a. The Securities Company shall inform the Client to monitor:
 - i) The fund balance, Securities and/or fund mutation record in the Securities Sub-Account owned by the Client which is recorded at KSEI; and
 - ii) The fund balance and/or fund mutation record in Customer Fund Account ("RDN") owned by the Client which is recorded at the Administrator Bank for Client Fund Account; via AKSes on the website <https://akses.ksei.co.id>.
 - b. The obligation to inform as referred to point 1 letter a shall be done along with the delivery of trade confirmation delivered by the Securities Company to each of its Clients.
 - c. In the transaction report as referred to in point 1 letter b, the Securities Company shall state the following appeal:

"The Client is requested to check the fund balance, Securities and/or fund mutation record in the Securities Sub-Account and funds in RDN via AKSes KSEI (<https://akses.ksei.co.id>)."
2. KSEI provide the procedure for the utilization of AKSes to the Client stipulated in the Guidelines for AKSes Users issued by KSEI from time to time (hereinafter will be referred to as the "Guidelines for AKSes Users").
3. The Guidelines for AKSes Users must be delivered by the Securities Company to the Client, including if there are any changes to the Guidelines for AKSes Users.
4. This Circular Letter will be effective starting as of April 28th, 2021.

Thus therefore, we convey the above. Thank you for your attention.

Regards,

Uriep Budhi Prasetyo
President Director

Syafuruddin
Director

Supranoto Prajogo
Director

Copies sent to:

1. The Chief Executive Supervisor of Capital Market of the Financial Services Authority (OJK);
2. The Head of Department of Capital Market Supervisor 2A of OJK;
3. The Director of Securities Institution Supervisory of OJK;
4. The Director of Securities Transaction Supervisory of OJK;
5. The Director of Capital Market Regulation of OJK;
6. The Head of TLE Policies Development Division of OJK;
7. The Board of Directors of PT Bursa Efek Indonesia;
8. The Board of Directors of PT Kliring Penjaminan Efek Indonesia;
9. The Indonesia Securities Companies Association;
10. The Indonesia Custodian Banks Association;
11. The Board of Commissioners of KSEI; and
12. The Board of Directors of KSEI.