

**DECREE OF THE BOARD OF DIRECTORS OF
PT KUSTODIAN SENTRAL EFEK INDONESIA**
Number: KEP-0024/DIR/KSEI/0923

REGARDING

**THE GUIDELINES FOR THE SUBMISSION OF SECURITIES PURCHASE AND/OR SALE
ALLOCATION PLAN FOR THE PURPOSE OF INVESTMENT PRODUCT THROUGH THE
INTEGRATED INVESTMENT MANAGEMENT SYSTEM (S-INVEST)**

- Considering :
- a. Whereas S-INVEST is stipulated as the facility for the submission of Securities purchase and/or sale allocation plan for the purpose of Investment Product based on the Regulation of the Financial Services Authority Number 17/POJK.04/2022 regarding the Investment Manager Code of Conduct.
 - b. Whereas based on the stipulation as referred to in letter a, PT Kustodian Sentral Efek Indonesia ("KSEI") as the Central Securities Depository that is appointed as S-INVEST Provider intends to issue the guidelines regarding the procedure for the submission of securities purchase and/or sale allocation plan for the purpose of Investment Product through S-INVEST.
 - c. Whereas based on the abovementioned considerations, KSEI considers it necessary to issue the Decree of the Board of Directors of KSEI regarding the Guidelines for the Submission of Securities Purchase and/or Sale Allocation Plan for the purpose of Investment Product through S-INVEST.
- In view of :
- a. Law Number 8 of the Year 1995 regarding the Capital Market (State Gazette of the Republic of Indonesia Number 64 of the Year 1995, Supplement to the State Gazette of the Republic of Indonesia Number 3608 of the Year 1995).
 - b. Law Number 4 of the Year 2023 regarding the Development and Strengthening of Financial Sector (State Gazette of the Republic of Indonesia Number 4 of the Year 2023, Supplement to the State Gazette of the Republic of Indonesia Number 6845 of the Year 2023).
 - c. Regulation of the Financial Services Authority Number 28/POJK.04/2016 regarding the Integrated Investment Management System (State Gazette of the Republic of Indonesia Number 149 of the Year 2016, Supplement to the State Gazette of the Republic of Indonesia Number 5910 of the Year 2016).
 - d. Regulation of the Financial Services Authority Number 17/POJK.04/2022 regarding the Investment Manager Code of Conduct (State Gazette of the Republic of Indonesia Number 20/OJK of the Year 2022, Supplement to the State Gazette of the Republic of Indonesia Number 12/OJK of the Year 2022).

- e. Regulation of KSEI Number X-B regarding the Procedure for the Utilization of the Integrated Investment Management System at KSEI (Attachment to the Decree of the Board of Directors of PT Kustodian Sentral Efek Indonesia Number KEP-0039/DIR/KSEI/0816 dated August 31, 2016, regarding the Regulation of PT Kustodian Sentral Efek Indonesia Number X-B regarding the Procedure for the Utilization of the Integrated Investment Management System at KSEI).
- f. Decree of the Chairman of the Capital Market Supervisory Agency Number KEP-54/PM/1998 Dated November 11, 1998, regarding the Granting of Business Permit as the Central Securities Depository to PT Kustodian Sentral Efek Indonesia.
- g. Letter of the Director of Regional Investment 2 and Capital Market Management Supervisory as the Acting Official of the Head of Regional Investment and Capital Market Management Supervisory Department of the Financial Services Authority Number S-57/PM.11/2023 dated July 13, 2023, regarding the Response over the Pre-Allocation Process Flow at S-INVEST.
- h. Letter of the Head of Capital Market Stipulation and Development Department of the Financial Services Authority Number S-214/PM.01/2023 dated September 4, 2023, regarding the Approval over the Draft Decree of the Board of Directors of KSEI regarding the Guidelines for the Submission of Securities Purchase and/or Sale Allocation Plan for the purpose of Investment Product through S-INVEST.

HAS DECIDED

- To stipulate : 1. Enforcement of S-INVEST as the facility for the submission of Securities purchase and/or sale allocation plan for the purpose of Investment Product as referred to in the Regulation of the Financial Services Authority Number 17/POJK.04/2022 regarding the Investment Manager Code of Conduct.
2. Provisions, procedure, and/or mechanism related to the utilization of S-INVEST as the facility for the submission of Securities purchase and/or sale allocation plan for the purpose of Investment Product will be further stipulated in the Guidelines of S-INVEST Pre-Allocation to be issued by KSEI from time to time.
3. Utilization of S-INVEST for the submission of plan for the allocation as referred to in this Decree of the Board of Directors will be effective starting as of September 5, 2023.

4. This Decree of the Board of Directors will be effective starting as of the date of stipulation provided that any and all things will be amended, corrected, and/or further stipulated accordingly if there were any mistakes in the stipulation, considered necessary to be made any corrections, and/or there were other matters which have not yet been sufficiently stipulated over this Decree of the Board of Directors.

Stipulated in : Jakarta
On : September 5, 2023

PT Kustodian Sentral Efek Indonesia

Eqy Essiqy
Director of Settlement,
Custodian, and Surveillance

Dharma Setyadi
Director of Infrastructure
Development and Information
Management

Imelda Sebayang
Director of Finance and
Administration