

**THE DECREE OF THE BOARD OF DIRECTORS OF
PT KUSTODIAN SENTRAL EFEK INDONESIA
Number: KEP-0029/DIR/KSEI/0616**

REGARDING

**THE REGULATION OF PT KUSTODIAN SENTRAL EFEK INDONESIA NUMBER I-E
REGARDING *SINGLE INVESTOR IDENTIFICATION (SID)***

- Considering :
- a. Whereas in for the purpose of providing security and comfort facilities for the client in investing and transacting at the capital market, then, will be required the presence of transparency process towards every Exchange Transaction and Non-Exchange Transaction carried out by each of the investors.
 - b. Whereas the creation of *Single Investor Identification* (“SID”) in addition to be used to identify the profile, demographic and database of the investors of capital market including transparency over the transactions, is also being used as the implementation of statutory regulations which oblige the market players and the capital market supporting institutions to create SID for their client by submitting application to PT Kustodian Sentral Efek Indonesia (“KSEI”) as the institution mandated in order to be able to issue SID or single investor identification.
 - c. Whereas based on the considerations as referred to in letter a and letter b above, it is considered necessary to stipulate the Regulation of KSEI Number I-E regarding *Single Investor Identification (SID)*.
- Bearing in mind :
- a. Law Number 8 of the year 1995 regarding the Capital Market (State Gazette of the Republic of Indonesia Number 64 of the Year 1995, Supplement to the State Gazette of the Republic of Indonesia Number 3608 of the Year 1995).
 - b. Regulation of the Capital Market Supervisory Agency Number III.C.2 regarding the Rule-Making Procedure by the Central Securities Depository (Attachment to the Decree of the Chairman of the Capital Market Supervisory Agency Number KEP-13/PM/1996 dated January 17th, 1996, regarding the Rule-Making Procedure by the Central Securities Depository).
 - c. Regulation of the Capital Market and Financial Institutions Supervisory Agency Number V.D.3. regarding the Internal Control of Securities Company Conducting Business as a Broker-Dealer (Attachment to the Decree of the Chairman of the Capital Market and Financial Institutions Supervisory Agency Number KEP-548/PM/2010 dated December 28th, 2010, regarding the Internal Control of Securities Company Conducting Business as a Broker-Dealer).
 - d. Regulation of the Capital Market and Financial Institutions Supervisory Agency Number III.C.7. regarding the Securities Sub-Account with the Central Securities Depository (Attachment to the Decree of the Chairman of the Capital Market and Financial Institutions Supervisory Agency Number KEP-326/BL/2012 dated June 14th, 2012, regarding the Securities Sub-Account with the Central Securities Depository).
 - e. Regulation of the Capital Market and Financial Institutions Supervisory Agency Number VI.B.2. regarding the Creation of Single Investor Identification at the Central Securities Depository and the Settlement by the Securities Administration Agency or the Issuer and the Public

Company Organizing Their Own Securities Administration (Attachment to the Decree of the Chairman of the Capital Market and Financial Institutions Supervisory Agency Number KEP-327/BL/2012 dated June 14th, 2012, regarding the Creation of Single Investor Identification at the Central Securities Depository and the Settlement by the Securities Administration Agency or the Issuer and the Public Company Organizing Their Own Securities Administration).

- f. Regulation of the Financial Services Authority Number 43/POJK.04/2015 regarding the Code of Conduct for Investment Manager (State Gazette of the Republic of Indonesia of the Year 2015 Number 370, Supplement to the State Gazette of the Republic of Indonesia Number 5810).
- g. Decree of the Chairman of the Capital Market Supervisory Agency Number KEP-54/PM/1998 dated November 11th, 1998, regarding the Granting of Business Permit as the Central Securities Depository to PT Kustodian Sentral Efek Indonesia.
- h. Regulation of KSEI regarding Central Custody Services (Attachment to the Decree of the Board of Directors of KSEI Number KEP-0013/DIR/KSEI/0612 Regarding the Amendment to the Regulation of the Central Custody Services).
- i. Letter of the Board of Commissioners of the Financial Services Authority Number S-73/PM.2/2016 dated June 16th, 2016, regarding the Approval on the Regulation of PT Kustodian Sentral Efek Indonesia (KSEI) Number I-E regarding Single Investor Identification.

HAS DECIDED

- To stipulate :
1. Regulation of PT Kustodian Sentral Efek Indonesia (KSEI) Number I-E Regarding *Single Investor Identification* (SID) as contained in the attachment to this decree.
 2. The implementing regulation of the Regulation of KSEI Number I-E Regarding *Single Investor Identification* (SID) including the implementation mechanism shall be further stipulated in the circular letter of KSEI.
 3. This Decree of the Board of Directors will be effective as of its date of stipulation, provided that any and all things will be amended and corrected accordingly if there is any mistake in the stipulation and/or if it is considered necessary to make correction over this Decree.

Stipulated in : Jakarta
On : June 21st, 2016

PT Kustodian Sentral Efek Indonesia

Friderica Widyasari Dewi
President Director

Syafruddin
Director