

Number : KSEI-0123/DIR/0119
Attachment : -
Letter Classification : General

Jakarta, January 4, 2019

To: Board of Directors of Account Holders
Indonesia Central Securities Depository (KSEI)

Re : Filling in Customers' Names in SDI (Static Data Investor) File Upload Format and Listing of Customers' Names in KSEI's Account Holders Registry

Dear Sir/Madam,

Referring to the following regulations:

1. Article 9 Section a. of the Financial Services Authority (OJK) Regulation No. 74/POJK.04/2017 on Securities Sub-Accounts at Depository and Settlement Institutions, which stipulates:

During the opening of Securities Sub-Accounts and the creation of Investors' Single Identification Numbers, the Participants must submit data on the Customer to the Depository and Settlement Institution that at least contain information on:

- a. Name;**
- b.etc. (as stipulated in the regulation above).**

2. Section 3.1.5 of KSEI Regulation No. I-C on Securities Sub-Accounts, which stipulates:

3.1.5. Securities Sub-Account will be opened under the name of 1 (one) Account Holder client, in accordance with the identity of the relevant Account Holder client, based on the documents prescribed in the prevailing statutory regulations in the Capital Market sector, including the Regulation of KSEI.

and in relation to KSEI Letter No. KSEI-29120/JKU/1018 regarding Individual Investor Names in SDI File Upload Format, dated October 15, 2018, we hereby convey to you the following points:

1) Filling in Customers' Names in SDI (Static Data Investor) File Upload Format

- a. The account description field name column during the Single Investor Identification and/or Securities Sub-Account creation process, must be filled as prescribed below:
 - i) For customers who are "INDIVIDUALS," the Customer name must match the Customer name listed in the investor name column (investor first name and investor last name) in the SDI File Upload Format;
 - ii) The process of filling in the investor name column (investor first name and investor last name) in the SDI File Upload Format must refer to the KSEI Letter above, and it is mandatory for Account Holders to verify that the Customer Name in the column is correct;
 - iii) For Customers that are not "INDIVIDUALS", the Customer's name must match the Customer name listed in the *InvestorCompanyName* column in the SDI File Upload Format;
- b. Customer Names in the Account Description field name column, the Investor Name column, and the *InvestorCompanyName* column in the SDI File Upload Format must match with the relevant Customer's identity documents.

2) Listing Customers' Names by KSEI in its Securities Holder Registry

Customer Names listed in the Securities Holder Registry issued by KSEI are as follows:

- a. For Customers who are "INDIVIDUALS," Customer names that are listed in the Securities Holder Registry will be the names that are listed in the 'Holder Name' column in C-BEST;
- b. For Customers who **are not** "INDIVIDUALS," Customer names that are listed in the Securities Holder Registry will be the names that are listed in the 'Account Name' column in C-BEST;

For further information on the points above, please contact KSEI's Account Management Unit (PRK) through Ms. Ajeng Makhriyani (52991145), Ms. Yosye Ajidan Namora (52991074), Mr. Pungkas Budhi Santoso (52991136), Mr. Defrian Ramadhio (52991073), or e-mail us at pr@ksei.co.id.

Thank you for your kind attention and cooperation.

Sincerely yours,

Syafruddin
Director

Hartati Handayani
Head of Custodian Services Division

CC:

1. Board of Directors of Indonesia Central Securities Depository