

Number : KSEI-4146/DIR/1022

October 28, 2022

Attachment : 1 (one) Set

Classification : General

To:

Board of Directors/Management of Investment Managers

Board of Directors/ Management of Securities Companies

Board of Directors/Management of Treasury Banks

as S-INVEST Users

Re: Pre-Allocation Final Module Specifications and S-INVEST Users Readiness Survey

Dear Sir/Madam,

In relation to the issuing of Financial Services Regulation (POJK) Number 17/POJK.04/2022 on Investment Manager Code of Conduct, which specifies that the selling and/or buying allocation plans for Securities Investment Products must be submitted through the S-INVEST, and referring to KSEI Letters Number KSEI-0707/DIR/0322, dated March 4, 2022, regarding the Development of the Pre-Allocation Module in S-INVEST, and Number KSEI-3895/DIR/1022, dated October 7, 2022, regarding S-INVEST Host-to-host Pre-Allocation Module Specifications, we hereby convey to you the attached Pre-Allocation Module user manual and specifications for uploads and host-to-host activities, accompanied with example files.

KSEI has prepared alternative input, upload, and host-to-host mechanisms for Pre-Allocation data inputs into the S-INVEST. The upload and host-to-host specifications can be downloaded from KSEI's website at: <https://www.ksei.co.id/data/download-data-and-user-guide> with filenames: *Spesifikasi Modul Pre-Allocation S-INVEST* (for input and upload specifications) and *Spesifikasi S-INVEST PTP HtH* (for host-to-host specifications). Each S-INVEST User may use the specifications as a foundation to develop their own internal systems.

In correlation with the Pre-Allocation Module's implementation, we intend to launch a survey on **Investment Managers'** readiness as parties that would submit the Pre-Allocation data into S-INVEST. Therefore, we wish to request your help as Investment Managers to fill in the survey at the following link: <https://forms.gle/bJYPp52hn2thC3mx5>

As the survey will be used as part of our monitoring efforts, we kindly request that Investment Managers fill in the survey above **by Friday, November 2022, 16:00 Western Indonesia Time at the latest.**

We will inform you when the menu will be ready for testing in the S-INVEST training system.

For further questions on this matter, please contact us at phone numbers: 021-52991086 (Ms. Lidya) and 021-52991163 (Ms. Gloria) or through email address: api@ksei.co.id.

Thank you for your kind attention and cooperation.

Sincerely yours,

Syafruddin

Director

Dharma Setyadi

Head of Transaction Settlement and
Service Administration Division

CC: :

1. Director for Investment Management, Financial Services Authority (OJK)
2. Association of Indonesian Mutual Funds & Investment Practitioners (APRDI)
3. Association of Indonesian Investment Managers (AMII)
4. Indonesia Securities Companies Association (APEI)
5. Indonesia Foreign Exchange Market Committee (IFEMC)
6. Indonesian Custodian Banks Association (ABKI)
7. Board of Directors of Indonesia Central Securities Depository.